

Bioinformatics Algorithms

(Fundamental Algorithms, module 2)

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Scoring Matrices

More complex scoring functions

Until now:

- match, mismatch, gap (linear gap functions)
- match, mismatch, gap open, gap extend (affine gap functions)
- i.e. $f(a, b)$ depends only on $a = b$ or $a \neq b$

But:

- For protein sequences, better to differentiate between different pairs of AAs a and b , i.e. depending on how close / how different they are.
- Reason: homologous proteins often have different AAs in same position. If only match/mismatch are evaluated, then many homologous proteins are not found.

So now:

- $f(a, b)$ depends on a and b
- necessarily: $f(a, b) = f(b, a)$ (symmetry)

2/14

Scoring matrices

- Scoring matrix S of dimension 20×20 (for protein), also possible: dim. 4×4 (for DNA)
- $S_{ab} = f(a, b)$ gives the **similarity** of a and b

3/14

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- Similarity could be defined by
 1. similarity of codon (DNA-level), e.g.
 $\min\{dist_{Hamming}(xyz, uvw) : xyz \text{ codon for } a \text{ and } uvw \text{ codon for } b\}$
 2. physico-chemical properties (hydrophobicity, size, basic/acidic, ...)
 3. based on empirical data: How frequently do we observe this change?

3/14

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 3. based on empirical data: How frequently do we observe this change?
- PAM matrices: Scoring matrices based on empirical data (Margret Dayhoff, 1978)
- PAM = Point Accepted Mutation (or: Percent Accepted Mutation)

3/14

Basic idea:

- $S_{ab} > 0$: probability that b has mutated into a at this evolutionary distance is greater than chance
- $S_{ab} = 0$: the two probabilities are equal (we cannot say anything)
- $S_{ab} < 0$: probability that b has been aligned to a by chance is greater than the probability that this is a true mutation

4/14

PAM scoring matrices

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Meaning of "by chance":

- We are comparing two probabilities
- **prob1**: that a and b are aligned together because there has been a series of mutations changing b into a
- **prob2**: that a and b have been aligned together by chance (e.g. if in the database all sequences consist only of a 's, then the probability that a is there in a random alignment is 1)

4 / 14

- family of matrices: PAM k (for any $k \geq 1$), common are PAM40, PAM120, PAM250
- PAM k : k is the evolutionary distance between the sequences to be scored; needs to be guessed *before* scoring
- higher k : applied to more distant / less closely related sequences / species
- the scoring matrix PAM k is **not a probability matrix**
- it is **based on** a probability matrix

5 / 14

Mutation probability matrix

- Dayhoff et al. generated mutation probability matrix M (PAM1 mutation matrix) based on empirical data: a large set of aligned sequences which are known to be homologous ("trusted alignments")
- M_{ab} = probability that AA b will change into AA a in one time step
- this probability is only estimated, based on observed data
- one time step = 1 PAM unit evolutionary distance = 1 mutation every 100 AAs on average
- $\sum_{a \in \Sigma} M_{ab} = 1$ (sum over each column equals 1)¹

¹in some areas of maths prob. matrices are defined differently: $P_{a,b}$ = prob. that a turns into b , i.e. the transpose of M ; then the sum over the rows is 1

6 / 14

Mutation probability at higher distances: M^k

- How about the probability that b changes into a in 2 steps?
- possibilities are:

| time step 1 | time step 2 |
|--------------------------------|-------------------|
| $b \rightarrow a$ | a unchanged |
| b unchanged | $b \rightarrow a$ |
| $c \neq a, b: b \rightarrow c$ | $c \rightarrow a$ |

7 / 14

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- Prob(b changes into a in 2 steps)
 $= M_{ab} \cdot M_{aa} + M_{bb} \cdot M_{ab} + \sum_{c \neq a, b} M_{cb} M_{ac} = \sum_{c \in \Sigma} M_{ac} M_{cb} = M^2_{ab}$
- M^2_{ab} is just the entry a, b , i.e. row a and column b , of the product matrix $M^2 = M \cdot M$ (matrix multiplication)—and **not** the real number M_{ab} squared!
- in general: M^k contains the probabilities for k steps, i.e. M^k_{ab} = prob. that b has mutated into a after k steps

7 / 14

Computation of the scoring matrices

- the PAM scoring matrices are "log-odds" matrices
 - odds: compare two probabilities
 - log: take the logarithm (product \rightarrow sum)
- PAM k scoring matrix:
 - take M^k
 - $M^k_{ab} = \text{Prob}(b \text{ changed into } a \text{ in } k \text{ steps})$
 - compare to: $\text{Prob}(a \text{ is there by chance}) = p_a$
 p_a = relative frequency of a ,
 e.g. if the DB is: $\{aabc, abca\}$, then $p_a = 1/2, p_b, p_c = 1/4$
- take log (base 10), multiply by 10 (for nicer numbers), round to nearest integer:

$$S_{ab} = 10 \cdot \log_{10} \left(\frac{M^k_{ab}}{p_a} \right) \quad \text{rounded to nearest int.}$$

8 / 14

Computation of the scoring matrices

$$S_{ab} = 10 \cdot \log_{10}\left(\frac{M_{ab}^k}{p_a}\right)$$

$$\frac{M_{ab}^k}{p_a} \begin{cases} > 1 & \text{if } M_{ab}^k > p_a \\ = 1 & \text{if } M_{ab}^k = p_a \\ < 1 & \text{if } M_{ab}^k < p_a \end{cases}$$

9/14

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Therefore

$$S_{ab} \begin{cases} > 0 & \text{if } M_{ab}^k > p_a & \text{i.e. if prob1 is greater than prob2} \\ = 0 & \text{if } M_{ab}^k = p_a & \text{i.e. if they are equal} \\ < 0 & \text{if } M_{ab}^k < p_a & \text{i.e. if prob2 is greater than prob1} \end{cases}$$

Note that scoring matrices are symmetrical (but not the prob. matrices).

9/14

PAM 250 Matrix

| | A | R | N | D | C | Q | E | G | H | I | L | K | M | F | P | S | T | W | Y | V |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| A | 2 | -2 | 0 | 0 | -2 | 0 | 0 | 1 | -1 | -1 | -2 | -1 | -1 | -3 | 1 | 1 | 1 | -6 | -3 | 0 |
| R | -2 | 6 | 0 | -1 | -4 | 1 | -1 | -3 | 2 | -2 | -3 | 3 | 0 | -4 | 0 | 0 | -1 | 2 | -4 | -2 |
| N | 0 | 0 | 2 | 2 | -4 | 1 | 1 | 0 | 2 | -2 | -3 | 1 | -2 | -3 | 0 | 1 | 0 | -4 | -2 | -2 |
| D | 0 | -1 | 2 | 4 | -5 | 2 | 3 | 1 | 1 | -2 | -4 | 0 | -3 | -6 | -1 | 0 | 0 | -7 | -4 | -2 |
| C | -2 | -4 | -4 | -5 | 12 | -5 | -5 | -3 | -3 | -2 | -6 | -5 | -5 | -4 | -3 | 0 | -2 | -8 | 0 | -2 |
| Q | 0 | 1 | 1 | 2 | -5 | 4 | 2 | -1 | 3 | -2 | -2 | 1 | -1 | -5 | 0 | -1 | -1 | -5 | -4 | -2 |
| E | 0 | -1 | 1 | 3 | -5 | 2 | 4 | 0 | 1 | -2 | -3 | 0 | -2 | -5 | -1 | 0 | 0 | -7 | -4 | -2 |
| G | 1 | -3 | 0 | 1 | -3 | -1 | 0 | 5 | -2 | -3 | -4 | -2 | -3 | -5 | 0 | 1 | 0 | -7 | -5 | -1 |
| H | -1 | 2 | 2 | 1 | -3 | 3 | 1 | -2 | 6 | -2 | -2 | 0 | -2 | -2 | 0 | -1 | -1 | -3 | 0 | -2 |
| I | -1 | -2 | -2 | -2 | -2 | -2 | -2 | 5 | 2 | -2 | 2 | 1 | -2 | -1 | 0 | -5 | -1 | 4 | 0 | -1 |
| L | -2 | -3 | -3 | -4 | -6 | -2 | -3 | -4 | -2 | 2 | 6 | -3 | 4 | 2 | -3 | -3 | -2 | -2 | -1 | 2 |
| K | -1 | 3 | 1 | 0 | -5 | 1 | 0 | -2 | 0 | -2 | -3 | 5 | 0 | -5 | -1 | 0 | 0 | -3 | -4 | -2 |
| M | -1 | 0 | -2 | -3 | -5 | -1 | -2 | -3 | -2 | 2 | 4 | 0 | 6 | 0 | -2 | -2 | -1 | -4 | -2 | 2 |
| F | -3 | -4 | -3 | -6 | -4 | -5 | -5 | -2 | 1 | 2 | -5 | 0 | 9 | -5 | -3 | -3 | 0 | 7 | -1 | 0 |
| P | 1 | 0 | 0 | -1 | -3 | 0 | -1 | 0 | 0 | -2 | -3 | -1 | -2 | -5 | 6 | 1 | 0 | -6 | -5 | -1 |
| S | 1 | 0 | 1 | 0 | 0 | -1 | 0 | 1 | -1 | -1 | -3 | 0 | -2 | -3 | 1 | 2 | 1 | -2 | -3 | -1 |
| T | -1 | -1 | 0 | 0 | -2 | -1 | 0 | 0 | -1 | 0 | -2 | 0 | -1 | -3 | 0 | 1 | 3 | -5 | -3 | 0 |
| W | -6 | 2 | -4 | -7 | -8 | -5 | -7 | -7 | -3 | -5 | -2 | -3 | -4 | 0 | -6 | -2 | -5 | 17 | 0 | -6 |
| Y | -3 | -4 | -2 | -4 | 0 | -4 | -4 | -5 | 0 | -1 | -1 | -4 | -2 | 7 | -5 | -3 | -3 | 0 | 10 | -2 |
| V | 0 | -2 | -2 | -2 | -2 | -2 | -2 | -1 | -2 | 4 | 2 | -2 | 2 | -1 | -1 | -1 | 0 | -6 | -2 | 4 |

10/14

Why use logarithm?

We use logarithms for computational reasons:

- since log is strictly monotonically increasing, one can replace all x with $\log x$: We have $x < y$ if and only if $\log x < \log y$.
- products of probs \rightarrow sums of log-of-probs
- easier to compute sums than products of very small numbers (note that all probabilities are between 0 and 1): reduce rounding errors

11/14

Two caveats

PAM matrices use two silent assumptions:

1. mutations (changes) of AAs happen independently (i.e. independent of context): scoring by individual columns
2. uses an evolutionary model: k distance = k identical steps (i.e. with same probabilities)

12/14

BLOSUM matrices

BLOSUM scoring matrices (Henikoff and Henikoff, 1992)

- other family of commonly used scoring matrices
- remedies second issue: uses no underlying evolutionary model
- same principle as PAM matrices, but:
- used different sets of aligned sequences for different distances
- BLOSUM m : only used sequences that had $m\%$ identity or less
- higher number $\hat{=}$ closer related
- common: BLOSUM 45, 62, 80; BLOSUM62 \sim PAM120

13/14

Summary

PAM matrices

- allow scoring different AA pairs according to evolutionary relatedness
- different PAM k acc. to evolutionary distance
- all modern AA scoring matrices are based on **empirical data**: observed frequencies in trusted alignment data
- the probabilities are estimated probabilities of AAs (from the data)
- mutation probability matrix M (1 step = 1 PAM unit)
 $\rightsquigarrow M^k$ mutation probability matrix for k steps (k PAM units)
 \rightsquigarrow PAM k scoring matrix S (log-odds matrix)
- higher number $\hat{=}$ less related $\hat{=}$ more distant
- commonly used: PAM40, PAM120, PAM160, PAM250
- k in PAM k needs to be decided before scoring
- BLOSUM: similar to PAM but higher number $\hat{=}$ more related