

Algorithms for Computational Biology

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Phylogenetic Trees II (Character Data)

Character data

Now the input data consists of **states of characters** for the given objects, e.g.

- morphological data, e.g. number of toes, reproductive method, type of hip bone, . . . or
- molecular data, e.g. what is the nucleotide in a certain position.

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Character data

Example

| | C_1 : # wheels | C_2 : existence of engine |
|------------|------------------|-----------------------------|
| bicycle | 2 | 0 |
| motorcycle | 2 | 1 |
| car | 4 | 1 |
| tricycle | 3 | 0 |

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Character data

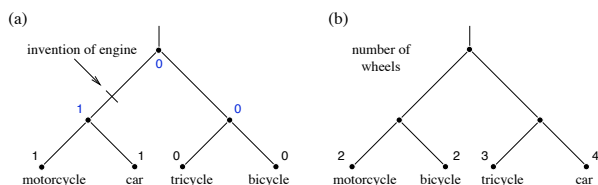
Example

| | C_1 : # wheels | C_2 : existence of engine |
|------------|------------------|-----------------------------|
| bicycle | 2 | 0 |
| motorcycle | 2 | 1 |
| car | 4 | 1 |
| tricycle | 3 | 0 |

- **objects (species):** Bicycle, motorcycle, tricycle, car
- **characters:** number of wheels; existence of an engine
- **character states:** 2, 3, 4 for C_1 ; 0, 1 for C_2 (1 = YES, 0 = NO)
- This matrix M is called a **character-state-matrix**, of dimension $(n \times m)$, where for $1 \leq i \leq n, 1 \leq j \leq m$: M_{ij} = state of character j for object i . (Here: $n = 4, m = 2$.)

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Character data



Two different phylogenetic trees for the same set of objects.

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Character data

We want to avoid

- parallel evolution (= convergence)
- reversals

These two together are also called **homoplasies**.

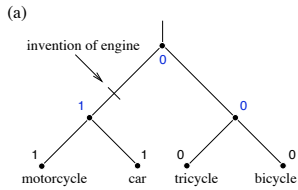
Mathematical formulation: **compatibility**.

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Compatibility

Definition

A character is **compatible** with a tree if all inner nodes of the tree can be labeled such that each character state induces one connected subtree.



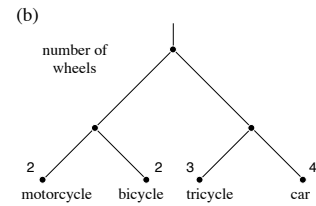
This tree is compatible with C_2 , one possibility of labeling the inner nodes is shown.

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Compatibility

Definition

A character is **compatible** with a tree if all inner nodes of the tree can be labeled such that each character state induces one connected subtree.



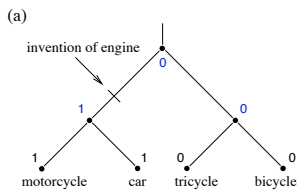
This tree is compatible with C_1 . (We have to give a labeling of the inner nodes to prove this.) It is not compatible with C_2 (why?)

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Compatibility

Definition

A character is **compatible** with a tree if all inner nodes of the tree can be labeled such that each character state induces one connected subtree.



This tree is also compatible with C_1 : We have to give a labeling of the inner nodes (w.r.t. C_1) to prove this.

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Compatibility

Exercise:

The objects $\alpha, \beta, \gamma, \delta$ share three characters C_1, C_2, C_3 . The following matrix holds their states:

| | C_1 | C_2 | C_3 |
|----------|-------|-------|-------|
| α | a | c | f |
| β | a | d | g |
| γ | b | d | h |
| δ | b | e | f |

(C_1 can have states a, b ; C_2 states c, d, e ; C_3 states f, g, h .)

Look at all possible tree topologies. Is there, among all these trees, a tree T such that all characters are compatible with T ? (Hint: It is enough to consider unrooted trees. Why?)

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Compatibility

Note that the question whether a character is compatible with a tree is independent of the other characters. Moreover, often all characters have the same states (typically $\{A, C, G, T\}$). Thus the previous problem is equivalent to this one:

| | C_1 | C_2 | C_3 |
|----------|-------|-------|-------|
| α | A | C | A |
| β | A | G | C |
| γ | C | G | G |
| δ | C | T | A |

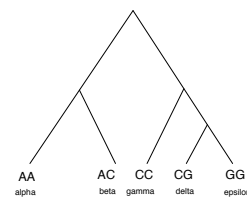
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Perfect Phylogeny

Definition

A tree T is called a **perfect phylogeny (PP)** for \mathcal{C} if all characters $C \in \mathcal{C}$ are compatible with T .

Example



Why? We have to find a labeling of the inner nodes s.t. for both characters C_1 and C_2 , each character induces a subtree.

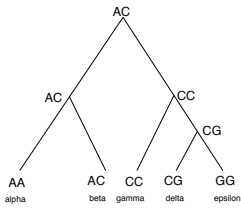
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Perfect Phylogeny

Definition

A tree T is called a **perfect phylogeny (PP)** for \mathcal{C} if all characters $C \in \mathcal{C}$ are compatible with T .

Example



Our first tree for the vehicles was also a PP, as well as the solution to the exercise.

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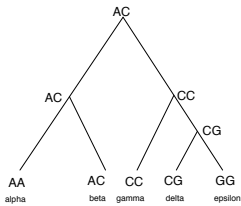
Perfect Phylogeny

- Ideally, we would like to find a PP for our input data.
- Deciding in general whether a PP exists is NP-hard.
- This is not really a problem, since most of the time, no PP exists anyway. Why: **due to homoplasies**; because our input data has errors; our evolutionary model probably has errors; and, and, and ...
- Therefore we usually want to find a **best possible tree**.

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Parsimony

What is a **best possible tree**?

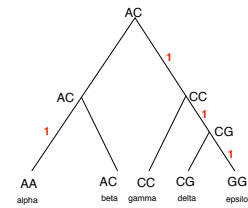


Why is this tree "perfect"?

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Parsimony

What is a **best possible tree**?



Why is this tree "perfect"?

Because it has few state changes!

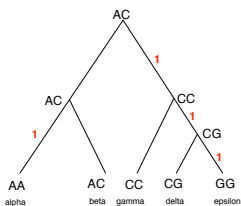
In red, we marked the edges where there are state changes (an evolutionary event happened), and how many (in this case, always 1).

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Parsimony

Definition

The **parsimony cost** of a phylogenetic tree with labeled inner nodes is the number of state changes along the edges (i.e. the sum of the edge costs, where the cost of an edge = number of characters whose state differs between child and parent).

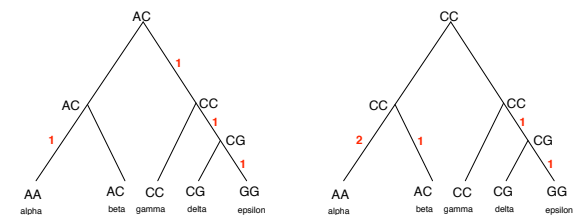


The parsimony cost of this node-labeled tree is 4.

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Parsimony

Same tree, different labelings



The parsimony cost of left node-labeled tree is 4, that of right node-labeled tree is 5.

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